



PATENT
Docket No.: Q112-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
RUTH et al.

Serial No.: 09/842,790

Examiner: Unknown

Filed: April 25, 2001

Art Unit: 1741

For: LITHIUM ION BATTERY
SUITABLE FOR HYBRID
ELECTRIC VEHICLES

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CERTIFICATE OF MAILING VIA EXPRESS MAIL (37 CFR 1.10)

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Dated October 2, 2002

Box Non-Fee Amendment
Commissioner for Patents
Washington, D.C. 20231

I hereby certify that the following attached documents are being deposited with the United States Postal Service for "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to Box Non-Fee Amendment, Commissioner for Patents, Washington, D.C. 20231.

1. Transmittal Letter (in duplicate)
2. Amendment Transmittal Letter (in duplicate)
3. Preliminary Amendment
4. Transmittal of Formal Drawings
5. 5 Sheets of Formal Drawings (A4 paper, Figs. 1-6)
6. Information Disclosure Statement
7. PTO Form 1449 with copy of references
8. Self addressed stamped return postcard

October 2, 2002

Date of Deposit

Lisa K. Robbins

Name of Person Mailing paper or fee

Signature

10-04-02

69/1741

AMENDMENT TRANSMITTAL LETTER (Small Entity)				Docket No. Q112-US1	
Applicant(s): RUTH et al.		Serial No. 09/842,790		Filing Date April 25, 2001	
		Examiner Unknown		Group Art Unit 1741	
Invention: LITHIUM ION BATTERY FOR HYBRID ELECTRIC VEHICLES					
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u>					
Transmitted herewith is an amendment in the above-identified application. <input checked="" type="checkbox"/> Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted. <input type="checkbox"/> A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed. The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	6 -	20 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	2 -	3 =	0 x	\$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ A duplicate copy of this sheet is enclosed. <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0921 A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.					
M. Elizabeth Bush Reg. No. 38,402 Quallion LLC Legal Derpartment P.O. Box 923127 Sylmar, CA91392-3127			Dated: 10-02-02		
cc:			I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.		
			Signature of Person Mailing Correspondence		
			Typed or Printed Name of Person Mailing Correspondence		

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
Q112-US1

In Re Application Of: RUTH et al.

Serial No.
09/842,790

Filing Date
April 25, 2001

Examiner
Unknown

Group Art Unit
1741

Title: LITHIUM ION BATTERY SUITABLE FOR HYBRID ELECTRIC VEHICLES

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Certificate of Express Mail; Amendment Transmittal (in duplicate); Preliminary Amendment; Transmittal of Formal Drawings; 5 Sheets of Formal Drawings (A4 paper, Figs. 16-); Information Disclosure Statement (in duplicate); PTO Form 1449 with copies of cited references; Return Postcard

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0921** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated: 10-02-02

M. Elizabeth Bush
Reg. No. 38,402
Quallion LLC Legal Department
P.O. Box 923127
Sylmar, CA 91392-3127

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In Re Application Of: RUTH et al.

OCT 02 2002
PATENT & TRADEMARK OFFICE

Serial No.	Filing Date	Confirmation No.	Examiner	Art Unit
09/842,790	April 25, 2001	N/A	Unknown	1741

Invention: LITHIUM-ION BATTERY FOR HYBRID ELECTRIC VEHICLE


Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

Transmitted herewith are:

5 sheets of formal drawing(s) for this application.

☒ Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c).

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Signature

M. Elizabeth Bush
Reg. No. 38,402
Quallion LLC Legal Department
P.O. Box 923127
Sylmar, CA 91392-3127

Dated: 10-2-02

I certify that this document and attached formal drawings are being deposited on with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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